

12-05-01

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Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	5603USA
First Inventor	WEAVER, CHANA L.
Title	SYSTEM AND METHOD FOR CATEGORY MANAGEMENT ANALYSIS
Express Mail Label No.	EJ491998165US

## APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Indicate on original and a duplicate for fee processing)*

2. ☐ Applicant claims small entity status.  
 See 37 CFR 1.27.

3. ☒ Specification [Total Pages: 48]

(Indicate on original and a duplicate for fee processing)

-Descriptive title of the invention  
 -Cross Reference to Related Applications  
 -Statement Regarding Fed sponsored R & D  
 -Reference to sequence listing, a table, or a computer program listing appendix  
 -Background of the Invention  
 -Brief Summary of the Invention  
 -Brief Description of the Drawings (if filed)  
 -Detailed Description  
 -Claim(s)  
 -Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages: 13]

5. Oath or Declaration (UNSIGNED) [Total Pages: 3]  
 a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*

- i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting Inventor(s)  
 Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

- a. ☐ Computer Readable Form (CRF)

- b. Specification Sequence Listing or:

- i. ☐ CD-ROM or CD-R (2 copies); or

- ii. ☐ paper

- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure ☐ Copies of IDS Citations  
 Statement (IDS)/PTO-1449

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)

- (Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s)

- (if foreign priority is claimed)*

16. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been lawfully omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label

(Insert Customer No. or Bar code label from)

- or ☐ Correspondence address below

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Name	Michael C. Maier	Registration No. (Attorney/Agent)	36,206
Signature		Date	11-1-01

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 10/002566  
 U.S. PTO

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	N/A
Filing Date	
First Named Inventor	WEAVER CHANA L
Examiner Name	
Group Art Unit	
Attorney Docket No.	5603USA

**TOTAL AMOUNT OF PAYMENT** (\$)992.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 07-0900  
Deposit Account Name General Mills, Inc.  
☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:☐ Check ☐ Credit Card ☐ Money ☐ Other  
Order**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

**SUBTOTAL (1)** (\$)740.00**2. EXTRA CLAIM FEES**

Extra Claims Fee from below Fee Paid

Total Claims 14-20\*\* = 0 x = 0

Indep. Claims 6-3\*\* = 3 x 84 = 252.00

Multiple Dependent = 0

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 260 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\* Reissue independent claims

over original patent

110 18 210 9 \*\* Reissue claims in excess of 20

over original patent


**SUBTOTAL (2)** (\$)992.00

\*\*or number previously paid, if greater, For Reissues, see above.

**FEE CALCULATION (continued)**

				Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	310	220	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1240	241	620	Petition to revive - unintentional	
142	1280	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129 (a))	
149	710	246	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
				Advance Copies	
Other fee (specify) -----					
*Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>					(\$)

**SUBMITTED BY**

Name (Print/Type)	Michael G. Maler	Registration No	35,206	Telephone	763-764-2265
Signature		(Attorney/Agent)		Date	11-1-01

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